TRANSMITTAL				cation Number	10/052,199		
				Date	January 16, 2002 RECEVE		
(to be used for all correspondence after initial filing)			First	Named Inventor	SHENNIB, ADNAN		
			Art U	nit	2643 MAY 1 4 2004		
			Exam	iner Name	DIONNE HARVEY Technology Center		
otal Number of Page	es in This		Attorn	ney Docket Number	022176-000610US		
	<del></del>	ENC	LOSURI	ES (Check all that appl	y)		
Fee Transmittal I	Form	☐ Drawir	ıg(s)		After Allowance Communication to Group		
Fee Attache	ed	Licens	ing-relate	ed Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment/Rep	sly	Petition	n		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Provisi	n to Con onal App	olication	Proprietary Information		
Affidavits/de	eclaration(s)		er of Attorney, Revocation nge of Correspondence Address		Status Letter		
Extension of Time Request			nal Disclaimer		Other Enclosure(s) (please identify below):		
7		Reque	est for Refund		Return Postcard		
Express Abando	nment Request	CD, No	lumber of CD(s)		PTO/SB/08A and /08B Form		
Information Discl	osure Statement			35 Reference Copies			
Certified Copy of Document(s)	Priority	Rema	rks	The Commissioner is Account 20-1430.	authorized to charge any additional fees to Deposit		
Response to Mis				J			
Response t	o Missing Parts FR 1.52 or 1.53						
				ICANT, ATTORNEY,	OR AGENT		
Firm or	Townsend and T		nd Crev		20.544		
Individual	James M. Heslin		•	Reg. N	0. 29,541		
Signature							
Date	51	10/04					
		CERTIFIC	ATE O	F TRANSMISSION/M	AILING		
ereby certify that this co	prrespondence is being	facsimile trans	mitted to	the USPTO or deposited wit	h the United States Postal Service with sufficient postage , VA 22313-1450 on the date shown below.		

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 022176-000610US Client Reference No.: ISM-006CNT

AN 1 3 2004 Commissioner for Patents

Exandria, VA 22313-1450

5-11-04

TOWNSEND and TOWNSEND and CREW LLP

By: Edward Masmat

**Edward Masinas** 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ADNAN SHENNIB et al.

Application No.: 10/052,199

Filed: January 16, 2002

For: DISPOSABLE EXTENDED WEAR

CANAL HEARING DEVICE

Examiner: DIONNE HARVEY

Art Unit: 2643

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

RECEIVED

MAY 1 4 2004

**Technology Center 2600** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

ADNAN SHENNIB et al. Application No.: 10/052,199 Page 2

This Information Disclosure Statement is being filed before the mailing date of the final Office Action or Notice of Allowance.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James M. Heslin Reg No. 29,541

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor

San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

JMH:bjl

60208894 v1

1

te for form 1449B/PTO

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

,	use as ma	ny sheets t	,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,,	
Sheet	T1	of	2	

Complete if Known							
Application Number	10/052,199						
Filing Date	January 16, 2002						
First Named Inventor	SHENNIB, ADNAN						
Art Unit	2643						
Examiner Name	DIONNE HARVEY						
Attorney Docket Number	022176-000610US						

	U.S. PATENT DOCUMENTS+										
Examiner Initials*	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant						
	AA	US-3,594,514	07-20-1971	Wingrove	Figures Appear						
	AB	US-3,764,748	10-09-1973	Branch et al.							
	AC	US-3,870,832	03-11-1975	Fredrickson							
	AD	US-3,882,285	05-06-1975	Nunley et al.							
	AE	US-4,505,329	08-19-1986	Hough							
	AF	US-4.628,907	12-16-1986	Epley							
	AG	US-4,756,312	07-12-1988	Epley							
	AH	US-4,776,322	10-11-1988	Hough et al.							
	Al	US-4,817,607	04-04-1989	Tatge							
	AJ	US-4,840,178	06-20-1989	Heide et al.							
	AK	US-4,957,478	09-18-1990	Maniglia							
	AL	US-5,015,224	05-14-1991	Maniglia							
	AM	US-5,015,225	0414-1991	Hough et al.							
	AN	US-5,163,957	11-17-1992	Sadé et al.							
	AO	US-5,220,918	06-22-1993	Heide et al.	BECEIVED						
	AP	US-5,259,032	11-02-1993	Perkins et al.	NEUCI VIII						
		I	02-01-1994	Bisch et al.							
	AQ	US-5,282,858	02-01-1994	Miller et al.	MAY 1 4 2004 Technology Center 2600						
	AR	US-5,338,287	06-13-1995	Shennib							
	AS	US-5,425,104		Ball	Tochnology Center 2600						
	AT	US-5,456,654	10-13-1995	<u> </u>	TECHNOLOGY CONTROL 2003						
	AU	US-5,531,787	07-02-1996	Lesinski et al.	•						
	AV	US-5,554,096	09-10-1996	Ball							
	AW	US-5,624,376	04-29-1997	Ball et al.							
	AX	US-5,654,530	08-05-1997	Sauer et al.							
	AY	US-5,682,020	10-28-1997	Oliveira							
	AZ	US-5,701,348	12-23-1997	Shennib et al.							
	BA	US-5,833,626	11-10-1998	Leysieffer							
	ВВ	US-5,730,699	03-24-1998	Adams et al.							
	ВС	US-6,408,081 B1	06-18-2002	Boesen •							
	BD	US-6,620,110 B2	09-16-2003	Schmid							
	BE	US-6,643,378 B2	11-04-2003	Schumaier							
	BF	US-6,648,813 B2	11-18-2003	Zilberman et al.							
	BG	US-6,658,126 B1	12-02-2003	Stem							
_	L										
			<u> </u>								

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

OIP MAY!	OFFICE CONTRACTOR
TENT & T	RADE Mitute for
	INFOR

Sheet

titute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known							
Application Number	10/052,199						
Filing Date	January 16, 2002						
First Named Inventor	SHENNIB, ADNAN						
Art Unit	2643						
Examiner Name	DIONNE HARVEY						
Attorney Docket Number	022176-000610US						

	FOREIGN PATENT DOCUMENTS									
5 614-	Cita	Foreign Patent Document				Name of Patentee or	Pages, Columns, Lines, Where Relevant			
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup>	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (# known)		Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	⊤°		
	_									
					}		1	<u> </u>		

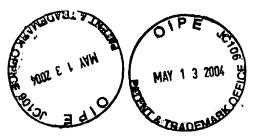
		NON PATENT LITERATURE DOCUMENTS	•
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	ВН	Chasin, Marshall, CIC Handbook, Singular Publishing Group, Inc., (1997) pp. 12-14, 17-18, 27-28, 44, 56-58, 65-66.	
	ВІ	Oliveira, Robert J., Navarro, Richard, "The Wax Problem: Two New Approaches," <i>The Hearing Journal</i> (August 1993) Vol. 46, No. 8, pp. 41-46.	
·····-		RECEI	VED
		MAY 1 4	<del>2004</del>
		MAY 1 4 Technology Co	enter 260
<u></u>		100imere 97	
	·		

60208894 v1

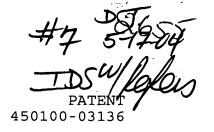
		The second section of the second seco
Examiner	Date	•
	Considered	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.



y



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Noriaki NISHI

Serial No.

09/837,439

Filed

April 18, 2001

For

ANAMORPHIC PRISM, OPTICAL HEAD AND OPTICAL

RECORDING/REPRODUCTION DEVICE

Examiner

Nabil Z. Hindi

Art Unit

2655

745 Fifth Avenue New York, New York 10151 Tel. (212) 588-0800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 10, 2004

Bruno Polito, Reg. No. 38,580

Name of Applicant, Assignee or
Registered Representative

Signature

May 10, 2004 Date of Signature RECEIVE

MAY 1 4 2004

Technology Center 2600

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c) AND CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicant is filing this Information Disclosure Statement and the accompanying form PTO-1449 along with copies of

the prior art therein identified. Such prior art has come to the attention of applicant by being cited in a Search Report issued in the corresponding European application on April 15, 2004, a copy of which is enclosed. So far as applicant is aware, this Information Disclosure Statement is being filed before the mailing date of the final Office Action or Notice of Allowance.

As is apparent in said Search Report, applicant's undersigned attorney hereby certifies pursuant to 37 C.F.R.

1.97(e) that each item of information contained in this

Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The filing of this Information Disclosure Statement is not an admission that the documents identified herein constitute prior art to the present application.

Please charge any fees incurred by the filing of this document or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP Attorneys for Applicant

Bv:

Bruno Polito

Reg. No. 38,580

Tel. (212) 588-0800

sed on E	PT0-1	449			ATTY. DOCKET NO. 450100-03136		SERIAL NO. 09/837,439			
	LIST OF	REFERENCES CITED BY	APPLICANT		APPLICANT Noriaki NISHI					
		everal sheets if nec			FILING DATE GROUP April 18, 2001 2655				"	
		_		U.S. PA	TENT DOCUMENTS		•	•		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING   APPROF		
	AA	US 5 920 539	07/06/99	SCHEL	L ET AL.				_	
	AB	US 5 402 269	03/28/95	ООНО	ET AL.					
	AC	US 5 978 144	11/02/99	LI ET	AL.		RECEIV	/FD		
	AD	us 5 272 685	12/21/93	ANDO						
	AE	us 5 999 509	12/07/99	SUGIU	IRA ET AL		004			
•	AF	US 5 477 386	12/19/95	OKUDA	ET AL	Technology Center 2600				
j	AG						- · · · · · · · · · · · · · · · · · · ·			
· 	АН								·	
	AI			ļ	· · · · · · · · · · · · · · · · · · ·					
	AJ									
	AK									
			F	OREIGN I	PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANS YES	LATION NO	
	AL	WO 99 46769	09/16/99	PCT						
	AM			<b>_</b>				<b> </b>		
	AN		<u></u>							
	AO							<u> </u>		
	AP									

 AQ	<u> </u>	<u> </u>	 	 		 	 
 		ļ	•	 	<del></del> .	 	 

EXAMINER DATE CONSIDERED

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.